

UTILITY PATENT APPLICATION TRANSMITTAL

(for Noncontinuing, Nonprovisional
Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. ARC9-2000-0138-US1

01/04/01
jc685 U.S. PTO

PATENT APPLICATION
Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing
under 37 C.F.R. §1.53(b) is the
nonprovisional, noncontinuing
patent application for:

Title: SYSTEM, METHOD AND PROGRAM PRODUCT
FOR IMPROVING BROKER'S PROFITS IN
ELECTRONIC COMMERCE

First Named Inventor or
Application Identifier: Nimrod MEGIDDO

- (X) 16 pages of the specification (including claims) are enclosed.
- (X) 3 sheet(s) of drawings are enclosed. () Formal (X) Informal
- (X) An executed Oath or Declaration and Power of Attorney naming the actual inventors is enclosed.
- () The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§1.41(a) and §1.53(b)).
- (X) An Assignment(s) of the invention to:
ASSIGNEE NAME: International Business Machines Corporation
ASSIGNEE RESIDENCE: Armonk, New York
and cover sheet are enclosed.
- (X) A check in the amount of \$40.00 to cover the fee for recording the assignment(s) is enclosed.
- () A 37 C.F.R. §3.73(b) Statement is enclosed (where an Assignee seeks to take action in a matter before the Patent Office).
- (X) An Information Disclosure Statement is enclosed.
- (X) A Form PTO-1449 is enclosed.
- (X) 8 References (copies) listed on the Form PTO-1449 are enclosed.
- (X) A Return Receipt Postcard is enclosed (MPEP §503).

PTO
jc511 U.S.
09/15/556
01/04/01

() Also enclosed:

(X) Address all future communications to Customer Number 22242.



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January 4, 2001
(Date)

A handwritten signature in black ink, appearing to read 'Charles W. Peterson, Jr.', written over a horizontal line.

Charles W. Peterson, Jr.
Registration No. 34,406

Noncontinuing Utility §1.53(b)-3-1299